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PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Janoska, et al  
SERIAL NUMBER: 09/275,934  
FILING DATE: April 30, 1998  
TITLE: METHOD AND APPARATUS FOR LINE CARD REDUNDANCY IN A COMMUNICATION SWITCH

EXAMINER:  
ART GROUP: 2731  
DOCKET NO.: 1400.4100209

Date: June 10, 1999

Attention: Box Missing Parts  
Honorable Commissioner of  
Patents & Trademarks  
Washington, DC 20231

*I hereby certify that this paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this date.*

6.11.99  
Date

*Kristen G. Herzog*  
Kristen G. Herzog

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed April 21, 1999, enclosed herewith please find:

- (a) Declaration executed by the inventors;
- (b) Assignment to Newbridge Networks Corporation;
- (c) Assignment cover sheet;
- (d) Transmittal Form;
- (e) Fee Transmittal Form;
- (f) Copy of the Notice to File Missing Parts of Application;
- (g) Check in the amount of \$984.00; and
- (h) Return postcard

Respectfully submitted,

By:

*Paul M. Anderson*

Paul M. Anderson  
Registration No.: 39,896  
Attorney for Applicant(s)

Markison & Reckamp, P.C.  
175 West Jackson Boulevard – Suite 1015  
Chicago, Illinois 60604  
Tel: (312) 939-9800  
Fax: (312) 939-9828



## FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **984.00**

### METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 760 201 380		Utility filing fee	760.00
106 310 206 155		Design filing fee	
107 480 207 240		Plant filing fee	
108 760 208 380		Reissue filing fee	
114 150 214 75		Provisional filing fee	

SUBTOTAL (1) (\$) **760.00**

#### 2. CLAIMS

Claims	Extra	Fee from below	Fee Paid
Total 23	(-20 =) 3	18	54.00
Indep.	(- 3 =)		0.00
Multiple Dep.			0.00

Large Entity Fee Code	Small Entity Fee Code	Fee Description
103 18 203 9		Claims in excess of 20
102 78 202 39		Independent claims in excess of 3
104 260 204 130		Multiple dependent claim
109 78 209 39		Reissue independent claims over original patent
110 18 210 9		Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **54.00**

Complete if Known

Application Number	09/275,934
Filing Date	April 30, 1998
First Named Inventor	Janoska, et al
Group Art Unit	2731
Examiner Name	
Attorney Docket Number	1400.4100209

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	130.00
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,520		For filing a request for reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 380 216 190		Extension for reply within second month	
117 870 217 435		Extension for reply within third month	
118 1,360 218 680		Extension for reply within fourth month	
128 1,850 228 925		Extension for reply within fifth month	
119 300 219 150		Notice of Appeal	
120 300 220 150		Filing a brief in support of an appeal	
121 260 221 130		Request for oral hearing	
138 1,510 138 1,510		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,210 241 605		Petition to revive - unintentional	
142 1,210 242 605		Utility issue fee (or reissue)	
143 430 243 215		Design issue fee	
144 580 244 290		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Petitions related to provisional applications	
126 240 126 240		Submission of Information Disclosure Stmt	
581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00
146 760 246 380		Filing a submission after final rejection (37 CFR 1.129(a))	
149 760 249 380		For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **170.00**

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Paul M. Anderson	Reg. Number	39,896
Signature		Deposit Account User ID	
Date	6/10/99		



Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
097275,934	03/24/99	JANUSKA	M 1400.4100209

MARKISON & RECKAMP  
175 WEST JACKSON BOULEVARD  
SUITE 1015  
CHICAGO IL 60604



NOT ASSIGNED

DOC

28

5-6-99

2731

DATE MAILED:

04/21/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 944.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 54.00 for 3 total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.174, unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

AJONES

Customer Service Center  
Initial Patent Examination Division (703) 308-1202